

02.06.02

A

CONTINUING PATENT APPLICATION

TRANSMITTAL (for Continuing
Applications under 37 C.F.R. §1.53(b))

Attorney Docket No. 71086

First Named Inventor or Application
Identifier:

Holloway et al.

Box PATENT APPLICATION

Commissioner of Patents and Trademarks
ATTENTION: Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

This is a request under 37 C.F.R. §1.53(b) for
filing a:

- ☐ Continuation application,
☐ Divisional application,
☒ Continuation-in-Part application,

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

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D.C. 20231.

ED PRICE

(Typed or printed name of person mailing)

(Signature of person mailing)

of pending prior application number 09/596,987, filed on June 20, 2000 by
inventor(s) Holloway et al. for Inactivation of Microbes in Biological Fluids.

1. ☐ This is a continuation or divisional application. Enclosed is a copy of the prior
application as originally filed, including specification, claims, drawings, and oath or
declaration.

- or -

- ☒ Enclosed is a patent application (for continuation, divisional, or continuation-in-part
applications) containing:
- ☒ 26 pages of the specification (including claims).
- ☒ 8 sheet(s) of drawings. ☐ Formal ☒ Informal (FIGS 1-10)
2. ☒ Amend the specification by inserting before the first line the sentence: --This is a
☐ continuation, ☐ division, ☒ continuation-in-part, of prior application number
09/596,987, filed June 20, 2000, which is hereby incorporated herein
by reference in its entirety.-- The entire disclosure of the prior application, from
which a copy of the oath or declaration is supplied under paragraph 3 below, is
considered as being part of the disclosure of the accompanying application, and is
hereby incorporated by reference therein.
3. ☐ A copy of the executed Oath or Declaration filed in the prior nonprovisional
application is enclosed.

4. ☒ Inventorship:

☐ A newly-executed Oath or Declaration and Power of Attorney is enclosed (for continuation-in-part applications, or for continuation or divisional applications naming an inventor not named in the prior application) (§ 1.63(a), (d)(5) and (e)).

☐ Because this application is being filed by fewer than all of the inventors named in the prior application, delete the following inventor(s) named in the prior nonprovisional application (37 C.F.R. § 1.63(d)(1)(2)):

☒ The names of persons believed to be the actual inventors are set forth in the enclosed unexecuted Oath or Declaration and Power of Attorney (§ 1.41(a) and § 1.53(b)).

5. ☐ An Assignment of the invention to _____, and cover sheet are enclosed.

☐ A check in the amount of \$ _____ to cover the fee for recording the assignment is enclosed.

6. ☒ The prior application is assigned of record to Pure Pulse Technologies, Inc.7. ☐ Small Entity Status (37 C.F.R. § 1.28(a)(2)):

☐ Applicant(s) assert entitlement to Small Entity Status.

☐ Status as a small entity is not claimed.

8. ☐ A 37 C.F.R. § 3.73(b) statement is enclosed where an assignee seeks to take action.9. ☐ A preliminary amendment is enclosed.10. ☒ Drawings:

☐ Transfer the drawings from the prior application to this application and abandon said prior application as of the filing date accorded this application. A duplicate copy of this sheet is enclosed for filing in the prior application file. (May be used only if signed by person authorized by § 1.138 and before payment of base issue fee.)

☐ New formal drawings are enclosed.

☒ Informal drawings are enclosed.

11. ☒ A separate written request under 37 C.F.R. §1.136(a)(3), which is a general authorization to treat any concurrent or future reply requiring a petition for an extension of time under 37 C.F.R. §1.136(a) for its timely submission as incorporating a petition for an extension of time for the appropriate length of time, is enclosed.
12. ☐ An Information Disclosure Statement is enclosed.
☐ A Form PTO-1449 is enclosed.
☐ _____ References (copies) listed on the Form PTO-1449 are enclosed.
13. ☐ A Computer Program Listing Appendix is enclosed.
☐ A Transmittal Cover Letter for Computer Program Listing Appendix is enclosed.
☐ Two (2) Compact Discs are enclosed.
14. ☐ A Nucleotide and/or Amino Acid Sequence Submission is enclosed.
☐ A Computer Readable Copy is enclosed.
☐ A Paper Copy (Identical to Computer Copy) is enclosed.
☐ A Statement Verifying Identity of above Copies is enclosed.
15. ☒ A Return Receipt Postcard is enclosed (MPEP §503).
16. ☐ Priority of application number _____ filed on _____ in _____ is claimed under 35 U.S.C. §119.
☐ The certified copy of the priority document has been filed in prior application number _____, filed _____.
☐ A certified copy of the priority document is enclosed.
17. ☒ Power of Attorney:
☒ The power of attorney in the prior application is to:

☒ James P. Krueger, Registration No. 35,234
FITCH, EVEN, TABIN & FLANNERY
Suite 1600
120 South LaSalle Street
Chicago, Illinois 60603-3406.
and other members of the firm.

☒ Customer Number 22242.

- ☐ The power appears in the original papers in the prior application.
- ☐ Since the power does not appear in the original papers in the prior application, a copy of the power in the prior application is enclosed.

18. ☐ Cancel in this application original claims _____ of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)

19. ☒ The filing fee is calculated below:

**Fee Calculation for Claims as Filed in the Prior Application,
Less Any Claims Cancelled by Amendment**

Basic Utility Fee		\$740.00	\$	740.00
Independent Claims	$\frac{5}{21} - \frac{3}{20} = \frac{2}{1}$	x \$ 84.00	= \$	168.00
Total Claims				
	$\frac{21}{21} - \frac{20}{20} = \frac{1}{1}$	x \$ 18.00	= \$	18.00
Fee for Multiply Dependent Claims		\$280.00	\$	0.00
or				
Basic Design Fee		\$330.00		
		Total Filing Fee	\$	926.00
<input type="checkbox"/> Applicant(s) assert entitlement to Small Entity Status, reducing the Filing Fee by half to:			\$	463.00

20. ☐ A check in the amount of \$_____ to cover the filing fee is enclosed.
21. ☐ Charge \$_____ to Deposit Account No. 06-1135.
22. ☒ The payment of the Filing Fee is to be deferred until the Declaration is filed. Do not charge our Deposit Account.
23. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required in this application under 37 C.F.R. §§1.16-1.17 during its entire pendency, or credit any overpayment, to Deposit Account No. 06-1135. Should no proper payment be enclosed herewith, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1135. A duplicate copy of this request is enclosed.
24. ☐ Also enclosed:

25. ☒ Address all future communications to Customer Number 22242.



02/04/02

Date

James P. Krueger

Registration No. 35,234

☐ Attorney or agent of record

☐ Filed under §1.34(a)

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